



ATTORNEY DOCKET NO: C01104/70016

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TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell, Alfred Ducharme, Ralph Osterhout, Colin Piepgras, George G. Mueller, and Dawn Geary

Serial No: 09/805,590

Confirmation No: 1160

Filed: March 13, 2001

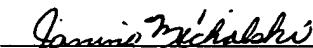
For: LIGHT-EMITTING DIODE BASED PRODUCTS

Examiner: D.A. Minh

Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 8th day of October, 2002.


Jaine Michalski

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

- [X] STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98
PTO FORM 1449 AND REFERENCES CITED
- [X] RETURN POST CARD

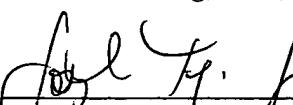
If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch are respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

Enclosed is a check in the amount of \$180.00 to cover the filing of the Information Disclosure Statement. If an additional fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Kevin J. Dowling, et al., Applicants

By:


Joseph Teja, Jr., Reg. No. 45,157
Randy J. Pritzker, Reg No. 35,986
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Telephone: (617) 720-3500

Docket No. C01104/70016
Date: October 8, 2002
X (NDD)



ATTORNEY DOCKET NO: C01104/70016

10-29-02

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Janine Michalski
Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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PART II: Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants hereby make the following additional information of record in the above-identified application.

The Applicants would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventors</u>
09/971,367	10/04/2001	George G. Mueller and Ihor A. Lys

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

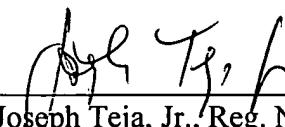
Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Kevin J. Dowling, et al., Applicants

By:


Joseph Teja, Jr., Reg. No. 45,157
Randy J. Pritzker, Reg No. 35,986
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INFORMATION DISCLOSURE
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GROUP ART UNIT: 2821

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U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		* US 2001/0033488	A1	Chliwnyj, et al.	10/25/2001
		* Re. 36,030		Nadeau	01/05/1999
		* 2,909,097		A.E. Alden, et al.	10/20/1959
		* 3,318,185		Kott	05/09/1967
		* 3,561,719		Grindle	02/1971
		* 3,586,936		McLeroy	06/22/1971
		* 3,601,621		Ritchie	08/24/1971
		* 3,643,088		Osteen, et al.	02/1972
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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APPLICATION NO.:	09/805,590	ATTY. DOCKET NO.:	C01104/70016
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APPLICANT:	Kevin J. Dowling, et al.		
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	* 6,132,072		Turnbull, et al.	10/17/2000
	* 6,183,086		Neubert	02/06/2001
	* 6,215,409	B1	Blach	04/10/2001
	* 6,250,774	B1	Begemann, et al.	06/26/2001
	* 6,292,901	B1	Lys, et al.	09/18/2001
	* 6,340,868	B1	Lys, et al.	01/22/2002
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APPLICATION NO.: 09/805,590

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EXAMINER: D.A. Minh

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number			
			* AU 6 267 9		12/30/1996	
			* CA 2 178 432		12/08/1996	
			* EP 0495305	A2	07/22/1992	
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			* WO 89/05086		06/01/1989	
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			* WO 96/41098		12/19/1996	

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		* "LM117/LM317A/LM317 3-Terminal Adjustable Regulator", National Semiconductor Corporation, May 1997, pp. 1-20	
		* "DS96177 RS-485 / RS-422 Differential Bus Repeater", National Semiconductor Corporation, February 1996, pp. 1-8	
		* "DS2003 / DA9667 / DS2004 High Current / Voltage Darlington Drivers", National Semiconductor Corporation, December 1995, pp. 1-8	

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	* "LM140A / LM140 / LM340A / LM7800C Series 3 – Terminal Positive Regulators", National Semiconductor Corporation, January 1995, pp. 1-14	
	* High End Systems, Inc., Trackspot User Manual, August 1997, Excerpts (Cover, Title page, pp. ii through iii and 2-13 through 2-14)	
	* Artistic License, AL4000 DMX512 Processors, Revision 3.4, June 2000, Excerpts (Cover, pp. 7,92 through 102)	
	* Artistic License, Miscellaneous Drawings (3 sheets) January 12, 1995	
	* Artistic License, Miscellaneous Documents (2 sheets February 1995 and April 1996)	
	* Newnes's Dictionary of Electronics, Fourth Edition, S.W. Amos, et al., Preface to First Edition, pp. 278-279	
	* "http://www.luminus.cx/projects/chaser", (November 13, 2000), pp. 1-16	
	Co-Pending Application Serial No. 09/971,367, filed October 4, 2001, entitled "Multicolored LED Lighting Method and Apparatus," our File No. C01104/70082.	

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* a copy of this reference is not provided as it was previously cited by or submitted to the office in prior applications:

Serial No. 09/669,121, filed September 25, 2000;
 Serial No. 09/425,770, filed October 22, 1999;
 Serial No. 08/920,156, filed August 26, 1997;
 Serial No. 09/213,540, filed December 17, 1998;
 Serial No. 09/213,581, filed December 17, 1998;
 Serial No. 09/213,548, filed December 17, 1998;
 Serial No. 09/742,017, filed December 20, 2000;
 Serial No. 09/213,607, filed December 17, 1998;

Serial No. 09/215,624, filed December 17, 1998;
 Serial No. 09/333,739, filed June 15, 1999;
 Serial No. 09/742,017, filed December 20, 2000;
 Serial No. 09/213,659, filed December 17, 1998;
 Serial No. 09/213,189, filed December 17, 1998;
 Serial No. 09/626,905, filed July 27, 2000;
 Serial No. 09/213,537, filed December 17, 1998;

which are relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]